

## Lec 14: Relations I

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CSCI 1311 Discrete Structures I  
Spring 2023

## Relations between sets

Relations, like functions, are a way to describe how elements in one set relate to elements in another set.

### Example

Let  $A = \{0, 1, 2\}$  and  $B = \{1, 2, 3\}$ . Then  $x \in A$  is related to  $y \in B$  if, and only if,  $x < y$ . Symbolically

$$x R y \iff x < y$$

Where  $x R y$  is read "x related to y."

Enumerate all pairs  $(x, y) \in A \times B$  that are *in* the relation?

## Relations as sets (and subsets)

Moreover, we can define the relation  $R$  as a set itself. Again, if  $R$  is a relation between two sets  $A$  and  $B$  and  $x \in A$  and  $y \in B$ , then  $R$  can be defined such that:

$$x R y \iff (x, y) \in R$$

### Definition

Let  $A$  and  $B$  be sets. Then a **relation  $R$  from  $A$  to  $B$**  is a subset of  $A \times B$ . Given pair  $(x, y) \in A \times B$ ,  $x$  is related to  $y$  by  $R$ , written  $x R y$ , if, and only if,  $(x, y) \in R$ .

The set  $A$  is the **domain** of  $R$ , and set  $B$  is the **co-domain** of  $R$ .

## Reflexive, Symmetric and Transitive

Let  $R$  be a relation on a set  $A$ :

- $R$  is **reflexive**, if and only if,

$$\forall x \in A, x R x$$

- ▶ All elements in  $A$  are related to themselves.

- $R$  is **symmetric** if, and only if,

$$\forall x, y \in A, x R y \implies y R x$$

- ▶ For any  $x, y$  in  $A$ , if  $x$  is related to  $y$ , then  $y$  is related to  $x$

- $R$  is **transitive**, if, and only if,

$$\forall x, y, z \in A, x R y \wedge y R z \implies x R z$$

- ▶ For any  $x, y, z$  in  $A$ , if  $x$  is related to  $y$  and  $y$  is related to  $z$ , then  $x$  is related to  $z$ .

## Exercise

How would you define (formally) the inverse of

$R$  is **not** reflexive if, and only if, ...

$R$  is **not** symmetric if, and only if, ...

$R$  is **not** transitive if, and only if, ...

## Exercise

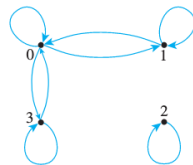
Let  $A = \{0, 1, 2, 3\}$  and define the relation  $R$  as

$$R = \{(0, 0), (0, 1), (0, 3), (1, 0), (1, 1), (2, 2), (3, 0), (3, 3)\}$$

Is  $R$  reflexive? symmetric? transitive?

## Direct graph depiction of relations

$$R = \{(0, 0), (0, 1), (0, 3), (1, 0), (1, 1), (2, 2), (3, 0), (3, 3)\}$$



- Reflexive requires self loops for all elements in  $A$
- Symmetry requires loops to exist between any related elements
- Transitivity requires closed connections between co-related terms. So there should be an arrow between 3-to-1 and from 1-to-3.

## Equivalence Relations

### Definition

Let  $A$  be a set and a  $R$  a relation on  $A$ .  $R$  is an **equivalence relation** if, and only if,  $R$  is reflexive, symmetric, and transitive.

Note that a relation is not an equivalence relation if there exists at least one counterexample of reflexivity, symmetry, or transitivity.

## Example equivalence relation: =

Define  $R$  as a relation over the reals such that

$\forall x, y \in \mathbb{R}$

$$x R y \iff x = y$$

Two items are in the relation if they are equal: **is this an equivalence class?**

**That is, is  $R$  reflexive, symmetric and transitive?**

## = as an equivalence relation

### Proof.

For  $R$  to be **reflexive**, we must show that  $\forall x \in \mathbb{R}, x R x$ , or equivalently show that  $x = x$ , which is true for equality, so  $R$  is reflexive.

For  $R$  to be **symmetric**, we must show that  $\forall x, y \in \mathbb{R}, x R y \implies y R x$ , or equivalently,  $x = y \implies y = x$ , which is certainly true for equality of the reals, so  $R$  is symmetric.

For  $R$  to be **transitive**, we must show that  $\forall x, y, z \in \mathbb{R}, x R y \wedge y R z \implies x R z$ , or equivalently, that if  $x = y$  and  $y = z$ , then  $x = z$ , which is also true for equality of the reals, so  $R$  is transitive.

Thus  $R$  is an equivalence relation. □

## Less than relation as equivalence?

Define the relation  $R$  over the reals as

$\forall x, y \in \mathbb{R}$

$$x R y \iff x < y$$

**Is the less than relation  $R$  an equivalent relation?**

## Exercises

**Are the following equivalence relations?** Try to prove your result, and if they are not, which property is violated.

Let  $R$  be the relation on  $\mathbb{Z}^+$  such that

$$(\forall n, m \in \mathbb{Z}^+)(n R m \iff n|m)$$

Let  $S$  be the relation on  $\mathbb{R}$  such that

$$(\forall x, y \in \mathbb{R})(x S y \iff xy \geq 0)$$

## Antisymmetry

### Definition

Let  $R$  be a relation on set  $A$ .  $R$  is **antisymmetric** if, and only if, for all  $a$  and  $b$  in  $A$ , if  $a R b$  and  $b R a$  then  $a = b$

More formally

$$R \text{ is antisymmetric} \iff (\forall a, b \in A)[(a R b \wedge b R a) \implies a = b]$$

Contrapositive defines **not antisymmetric**

$$R \text{ is not antisymmetric} \iff (\exists a, b \in A)(a R b \wedge b R a \wedge a \neq b)$$

Recall that  $\neg(p \rightarrow q) \equiv p \wedge \neg q$

## Example antisymmetric relation: divides

Let  $R$  be a relation over  $\mathbb{Z}^+$ , and  $a R b$  if, and only if,  $a \mid b$ .  $R$  is antisymmetric.

### Proof.

Suppose that  $a R b$  and  $b R a$ , we must show that  $a = b$ . By definition of the relation  $a R b$  and  $b R a$  implies that

$$\begin{aligned} a R b &\implies a \mid b \implies a = br \text{ for some positive integer } r \\ b R a &\implies b \mid a \implies b = as \text{ for some positive integer } s \end{aligned}$$

Substituting for  $b$  in the first formula we have

$$\begin{aligned} a &= br \\ a &= (as)r \\ 1 &= sr \end{aligned}$$

Since  $s$  and  $r$  are positive integers, they must be 1. Substituting for  $r$  we have  $a = 1b$  and thus  $a = b$ .  $\square$

Is the relation  $R$  antisymmetric over the positive and negative integers?

## Partial order relation

### Definition

A relation  $R$  over a set  $A$  is a **partial order relation** if, and only if,  $R$  is reflexive, antisymmetric, and transitive.

Antisymmetric ensures that we can create some chain of ordering over elements. For example, for the divides relation  $a R b$  if, and only if,  $a \mid b$ , we can say that  $a \preceq b$  if  $a R b$ , leading to various ordered chains factors, all ending at 1.

$$\begin{aligned} 120 &\succeq 10 \succeq 5 \succeq 1 \\ 100 &\succeq 20 \succeq 4 \succeq 2 \succeq 1 \end{aligned}$$

We use the  $\preceq$  and  $\succeq$  to indicate "less than or equal" or "greater than or equal" under the relation as not to be confused with the canonical less/greater than ( $\leq, \geq$ ).

## Exercise

Let  $\mathcal{A}$  be a collection of sets. Show that  $\subseteq$  is a partial ordering over  $\mathcal{A}$ , that is for sets  $a$  and  $b$  in  $\mathcal{A}$ ,  $a R b$  if, and only if,  $a \subseteq b$ .

## Total Ordering

In partial ordering, it is possible to find two elements  $a$  and  $b$  that are not related. For example, in the divides relation,  $a \nmid b$  would not be in the relation, and thus  $a$  and  $b$  could not be ordered.

### Definition

A partial order relation  $R$  on set  $A$  is a **total order relation** if for any two elements  $a$  and  $b$  either  $a R b$  or  $b R a$ .

Example: The less than relation  $a \leq b$  is a total order relation.